

October 14, 2013

I would like to take this opportunity to share a few updates/announcements regarding operational status that have been received from sponsors.

Please distribute this information widely with researchers and research administrators in your department/unit.

NIH:

- The vast majority of NIH staff that oversee program, review, and grants management activities are furloughed.
- Once the shutdown is over, it may take a few days to reestablish normal operations and communicate new dates for application submissions, review meetings, and other activities affected by the shutdown.
- Additional information will be posted and published in the NIH Guide as quickly as it can be made available.
- OCGA will monitor the situation and communicate internal processes for handling the growing backlog of application submissions based on NIH guidance.

Caltech Federally Funded Research and Development (FFRDC) Subcontracts:

- NASA FFRDC activities at Caltech are currently operating under pre-appropriated funds.
- Subcontractors are reminded that they must adhere to the “Limitation of Funds” and “Limitation of Cost” general provisions of subcontracts.
- Please contact your Contract and Grant Officer if you have questions or concerns about subawards from Caltech.

Lawrence Livermore National Laboratory (LLNL):

- LLNL will begin an orderly shutdown of the facility this week with the following schedule:

Monday, October 14	Federal Holiday
Tuesday, October 15	Open
Wednesday, October 16	Open
Thursday, October 17	Shutdown
Friday, October 18	Shutdown
All other days during the Federal Government Shutdown	Shutdown

- During the shutdown
 - Subcontractors will not be allowed to access the LLNL facility
 - Technical and Contract Administrator staff will not be available by either phone or e-mail.
- Unless limited by the conditions above, subcontractors must continue to perform under the terms of their subcontract(s).
- Please contact your Contract and Grant Officer if you have questions or concerns about subawards from LLNL.

Complete text of the referenced sponsor communications is attached. We thank you for your patience and understanding, and will continue to share information as it becomes available.

Patti Manheim
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From: Used by OER for communications to grantees [mailto:NIH-ALERT@LIST.NIH.GOV] **On Behalf Of** NIH-Alert (NIH/OD)

Sent: Friday, October 11, 2013 5:55 PM

To: NIH-ALERT@LIST.NIH.GOV

Subject: Current Status of NIH Extramural Operations

TO: NIH Extramural Community

This is a brief communication to update the biomedical research community of the current status of extramural operations at NIH. The NIH continues to be shut down for all non-expected activities. This means that the vast majority of NIH staff that oversee program, review, and grants management are furloughed, NIH grants and contract submissions are not being processed, reviews are not occurring, and no awards are being made. As described in [NOT-OD-13-126](#), the Payment Management Systems remains operational and funds for most grants awarded before the shutdown can continue to be drawn down.

As the shutdown drags on, the challenge of reestablishing normal operations quickly is growing. Once the shutdown is over, NIH will need time to set new dates for grant and contract submissions, review meetings, conferences, and other activities that were scheduled to happen during and immediately following the period of the shutdown. It will take us a few days after this is over to communicate our plans, so please bear with us.

You can find the current guidance posted at grants.nih.gov. Once we reopen, additional information will be posted and published in the NIH Guide as quickly as we can make it available.

All of us at NIH hope the current situation is resolved soon, but we don't know when that will be. We appreciate your patience as we go through this difficult experience together.

Sincerely,

Sally Rockey, Ph.D.

NIH Deputy Director for Extramural Research

From: DeClue, Elizabeth [<mailto:Elizabeth.DeClue@caltech.edu>]

Sent: Thursday, October 10, 2013 10:00 AM

To: [REDACTED]

Cc: [REDACTED]

Subject: Government shutdown and Cost type contracts

Importance: High

All,

As you are no doubt aware, the United States Federal Government (Government) has shutdown with respect to all but essential services. As of October 1, 2013, there are no longer appropriations for Federal Agencies, including NASA. With our Federally Funded Research and Development projects, Caltech will continue to operate under pre-appropriated funds. Further funds will have to await the Government resumption of operations and new annual appropriations.

We have been advised by our legal counsel to inform you that In light of the above, please be reminded that cost type subcontracts must adhere to the "Limitation of Funds" and "Limitation of Cost" general provisions in your subcontract(s), while incrementally funded fixed price subcontracts must adhere to the "Limitation of Institute's Obligation" special provision and the terminal liability schedule contained therein. This will determine how long the work can continue. You are also reminded that the subcontract end date is an estimate and funds may be exhausted prior to that date, so please be advised not to exceed the funds allotted to the subcontract(s) as additional funds will not be provided. Additional funding will only be available when appropriations again become available to NASA.

Please also keep in mind to be timely with invoicing so that an accurate picture for reporting can be provided to our funding agencies, especially as this situation either continues or is resolved.

Please feel free to pass this message within your organization to all who will be affected or have an interest.

If you have any questions or concerns, please contact me. I will respond as soon as possible.

Elizabeth De Clue, MBA

Senior Contracting Officer

Purchasing Desk (626) 395-8639 EFAX: (626) 628-3606

Please think before you print!

California Institute of Technology

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<http://procurement.caltech.edu/>

From: Bosque, Kim [<mailto:bosque2@llnl.gov>]

Sent: Thursday, October 10, 2013 2:09 PM

To: [REDACTED]

Cc: [REDACTED]

Subject: ANNOUNCEMENT: LLNL Shutdown

Importance: High

As a federally funded facility, Lawrence Livermore National Laboratory (LLNL) is directly impacted by the current Federal Government shutdown. This email is to notify you that as a result of the Federal Government shutdown, beginning next week, the LLNL facility will begin an orderly shutdown. Specifically, the LLNL site will be shutdown as described below. Please plan your on-site work activities accordingly.

Monday October 14 th	SHUTDOWN
Tuesday October 15 th	OPEN
Wednesday October 16 th	OPEN
Thursday October 17 th	SHUTDOWN
Friday October 18 th	SHUTDOWN
All other days during the Federal Government Shutdown	SHUTDOWN

During the shutdown, Subcontractors will not be allowed to access the LLNL site and neither I nor the cognizant Technical Representative will be available by phone or by email. Except as otherwise limited by the above, you must continue to perform to the terms of your Subcontract(s).

Please note LLNL Shipping and Receiving will be closed Monday October 14th, but will maintain regular hours of operation for all other days during the shutdown.

LLNL will follow budget guidance as it emerges from its sponsors in Washington, D.C. and notify you when LLNL is no longer shutdown.