

September 30, 2013

Dear Colleagues,

We are following closely the news from Washington regarding the potential government shutdown. We have summarized below the information we have gathered on the potential effects on federal research funding.

In the event of a shutdown, funded activities under currently active federal awards can continue as long as there is no specific agency approval required by the terms of award to proceed with a project task, or no notice from the awarding agency that specifically orders a work stoppage. If you receive a stop-work notice, please notify the Office of Contract and Grant Administration (OCGA) immediately.

New awards, continuations or modifications most likely will not be issued during a shutdown. Requests for Authorization to Spend (RAS) prior to receipt of an award will be processed by OCGA with approval of the PI's department.

Many sponsor electronic systems, such as NSF FastLane, Research.gov and NIH eRA Commons, may be out of service. Grants.gov anticipates that the system will still be in operation for funding opportunities that were published before the shutdown and will store applications until agency systems are restored to normal operation. The UCLA S2S Grants (Cayuse) system will remain available for proposal preparation and submission.

The Office of Research Administration has taken steps to ensure that current federal expenditures have been reimbursed in the event the federal Payment Management System and other systems are unavailable. Extramural Fund Management (EFM) is processing appropriate drawdowns today.

Synopses of agency-specific information that have been received by ORA are provided below. In addition, our colleagues at UCSB have posted an [updated list](#) of sponsor communications related to the potential shutdown. If you have any questions regarding specific awards, please contact your OCGA or EFM representative.

NIH:

- NIH staff will not be available to provide routine administrative support.
- The Payment Management System will remain operational on a limited basis.
- NIH eRA Commons may not be available during the closure.
- eRA Helpdesk services may not be available.
- No awards or other transactions will be processed.
- Applications may be submitted to Grants.gov but will not be processed into the eRA Commons until normal operations resume.
- Scores and summary statements may not be available electronically during the closure
- The IAR (Internet Assisted Review) site will not be accessible.
- Once the government re-opens, it may take at least a day for operations and electronic system functionality to resume.

NSF:

- Most NSF staff including grants management and program officers will be on hiatus.
- No new or continuing grants or cooperative agreements will be awarded.
- Work may continue for funds already available on grants and cooperative agreements.
- FastLane and Research.gov will be unavailable.

- No payments will be made.
- Scheduled review panels will be cancelled and likely rescheduled to a later date.

CDC:

- Due to furloughs, contracting officers may be different than those originally assigned.
- No specific guidance on grants; changes in contracts would be issued by formal written notice.

Department of Homeland Security:

- Performance may continue under existing awards unless specifically notified to stop or reduce work.

NIST:

- Grant Administration employees will not be available.
- Payment processing may be delayed.
- The Automatic Standard Application for Payment (ASAP) system is anticipated to be available
- Award actions will be delayed.

NOAA:

- Grant Officers will not be available.
- Grants Online System will not be available.
- Payments on a reimbursable basis will not be made.
- The Automatic Standard Application for Payment (ASAP) system is anticipated to be available.
- Performance may continue under existing grants and cooperative agreements unless specifically notified to stop work.

NSA:

- Non-essential personnel will be unavailable.
- Work may continue on currently funded contracts that do not require federal facilities or personnel.

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