

TO: Deans, Directors, Department Chairs and Administrative Officers

UCLA Procedure 925.2, “Disclosing Financial Interests in Non-Governmental Sponsors of Contracts, Grants and Material Transfer Agreements for Research,” has been revised. It applies to all requests for research support submitted to for-profit and non-profit organizations. Based on State of California regulations, disclosure forms must be submitted and disclosed financial interests reviewed by the campus before support for research can be accepted.

Please note that:

- Support for research includes funding, equipment or other materials provided for a research project under contracts, grants (including cooperative agreements) and material transfer agreements by for-profit and non-profit organizations.
- The term “Investigator” has been substituted for the term “Key Personnel.” “Investigators” are defined as individuals who share responsibility for the design, conduct or reporting of the project.
- Under this procedure, “Investigators” no longer include individuals who will be named in informed consent forms for the project but who do not share responsibility for the design, conduct or reporting of the project. This information may, however, be collected by the UCLA Office for Protection for Research Subjects.

The State of California Form 700-U is used to disclose the Principal Investigator’s financial interests. Please note that we have several locally developed additional forms. These include a new 700-U Addendum, and a new Industry Clinical Trial Specific supplemental form. The general supplement (now used for all agreements except industry sponsored clinical trials) has been revised. The forms can be accessed at: <http://www.research.ucla.edu/researchpol/formIndex.htm#State>. Please see: <http://www.adminvc.ucla.edu/appm/public/925-2.HTM> to review the updated procedure.

Questions should be directed to Ann Pollack, Assistant Vice Chancellor – Research at x40387, or Claudia Modlin, Coordinator, Research Policy and Compliance at x42642.

Sincerely,

Roberto Peccei

Vice Chancellor for Research