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**From:** ora-news-bounces@lists.ucla.edu on behalf of ORA NEWS [ora-news@lists.ucla.edu]  
**Sent:** Friday, September 04, 2009 10:11 AM  
**To:** ora-news@lists.ucla.edu  
**Subject:** 09-005 POLICY UPDATES: Revision of Procedure 925.3, "Disclosing Financial Interests Relevant to Federal Sponsored Contracts and Grants for Research"

Re: Conflicts of Interest in Research (UCLA Procedure 925.3)

UCLA Procedure 925.3, "Disclosing Financial Interests Relevant to Federal Sponsored Contracts and Grants for Research," has been revised. The primary change is the extension of disclosure requirements to *all federal agencies*. This was done after consultation with the deans and in recognition of the University's responsibility to ensure that federally supported research is protected from possible biases that may stem from financial conflicts of interest.

This procedure implements National Science Foundation (NSF) policy and Public Health Service (PHS) regulations which were promulgated to ensure objectivity in publicly funded research. Examples of the kinds of financial interests that should be disclosed as well as specific definitions and thresholds for reporting are incorporated into the procedure. Investigators are encouraged to disclose broadly so that all financial interests that might be reasonably affected by the outcome of the research can be reviewed by the campus and so that identified conflicts of interest can be mitigated.

In issuing this revised procedure, I also want to remind you that NSF policy and PHS regulations require that these disclosures must be made *before* proposals are submitted. Completed disclosures for NSF and PHS proposals *must* be received by OCGA before proposals can be submitted to the agencies. Accordingly, Principal Investigators should plan to collect necessary disclosures early in the proposal preparation process. To facilitate submission, completed forms can be scanned and e-mailed, or faxed to OCGA along with other proposal materials. The disclosure forms have also been updated and can be accessed at: <http://www.research.ucla.edu/researchpol/formindex.htm#Federal>.

The revised procedure is effective as of August 17, 2009, and can be found at: <http://www.adminpolicies.ucla.edu/app/Default.aspx?&id=925-3> within a week or so. Questions about the procedure, forms, disclosure requirements or review processes should be directed to Ann Pollack, Assistant Vice Chancellor – Research, at x40387 or [apollack@research.ucla.edu](mailto:apollack@research.ucla.edu), or to Claudia Modlin, Research Policy and Compliance Coordinator, at x 42642 or [cmodlin@research.ucla.edu](mailto:cmodlin@research.ucla.edu).

Sincerely,

**Roberto Peccei**  
Vice Chancellor for Research